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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,863	11/01/2001	Eric H. Holmes	20093A-002220US

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 3956
FORMALITIES LETTER

OC000000007464296

Date Mailed: 02/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Y.G.
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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0360

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission	Application Number	10/040,863
	Filing Date	November 1, 2001
	First Named Inventor	Eric H. Holmes
	Group Art Unit	1653
	Examiner Name	Not Assigned Yet
1	Attorney Docket Number	20093A-002220US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard; Copy of Declaration and Power of Attorney; Copy of Previously Submitted Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm and Individual name	Townsend and Townsend and Crew LLP Brian W. Poor Reg. No. 32,928	
Signature		
Date	March 15, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

March 15, 2002

Typed or printed name	Wendy Walton	
Signature		Date
	March 15, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SE 5012113 v1

O I P E JC173
MAR 25 2002
PATENT & TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents Attn: Box Missing Parts Washington, D.C. 20231 On March 11, 2002 By:
Lileng Liaw

PATENT
Attorney Docket No.: 20093A-002220US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Eric H. Holmes *et al.*

Application No.: 10/040,863

Filed: November 1, 2001

For: NUCLEIC ACIDS AND PROTEINS
OF A RAT GANGLIOSIDE GM1-
SPECIFIC ALPHA 1-2
FUCOSYLTRANSFERASE AND USES
THEREOF

Examiner: Not Assigned Yet

Art Unit: 1653

RESPONSE TO NOTICE OF MISSING
PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non-provisional Application, dated February 12, 2002, enclosed please find a copy of the postcard previously submitted to the Patent and Trademark Office indicating that a copy of the Declaration and Power of Attorney was submitted and acknowledged as received by the Office. The above application is a divisional application of 09/298,886, filed April 23, 1999. As such a newly executed Oath and/or Declaration is not required and a copy filed in the prior application is all that is necessary. 37 C.F.R. 1.63(d)(1). We have enclosed another copy of the Declaration for your convenience.

Applicant believes that no fee is required at this time. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit

O I P E SC173
MAR 25 2002
PATENT & TRADEMARK OFFICE

Eric H. Holmes *et al.*
Application No.: 10/040,863
Page 2

PATENT

Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

If you have any questions or comments, please do not hesitate to contact the undersigned at the number listed below. Thank you for your assistance in this matter.

Respectfully submitted,



Dated: 15 March 2002

Brian W. Poor
Reg. No. 32,928

Customer No. 20350

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San Francisco, California 94111-3834
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BWP:waw

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